

Securities Settlement Instruction (SI) / Investor Settlement Instruction (ISI)
證券交收指示(SI) / 投資者交收指示(ISI)

To: Forwin Securities Group Limited (CCASS ID: B01984)

致: 富榮證券集團有限公司 (參與者編號: B01984)

Unit 2201, 22/F, Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong 香港灣仔港灣道1號會展廣場辦公大樓22樓2201室

Tel. No. 電話號碼: (852) 2895 9993 Fax No. 傳真號碼: (852) 2572 9166 E-mail 電郵: cs@forwin-holding.com

A/C No. 戶口號碼: _____

Date 日期: _____

Client's A/C Name 客戶名稱: _____

SI 證券交收指示: / ISI 投資者交收指示: (Settlement Date 交收日期: _____)

Receive Stocks 收取股票 Delivery versus Payment 貨銀對付交收(HK\$ _____)

Delivers Stocks 交付股票 Free of Payment 無須付款交收

Client Account Number / Client Name: _____

Purpose of SI 交收指示目的: Blank / C / L / P / M / R

Details for Settlement Instruction 交收指示資料:

Counterparty Name: 交收對手:	Counterparty CCASS Participant ID : 交收對手之中央結算系統參與者編號:
Counterparty Contact Person Name : 交收對手聯絡人姓名:	Counterparty Contact Person Contact No. : 交收對手聯絡人聯絡電話號碼:

Details of share(s) 有關股票資料如下:

Securities Code 證券代號	Securities Name 證券名稱	Quantity 股數	SI Input No. 輸入編號	Handling Fee 手續費 (HK\$)

The handing charges will be deducted from client account

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

手續費在客戶戶口中扣除

本人/吾等確認上述股票的實益擁有權並沒有因進行交收指示而出現變動本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支, 當中包括但不限於印花稅,向貴公司作出彌補及使之獲得彌償。

Client's Signature(s) 客戶簽署: _____

For Internal Use Only 本公司內部使用				
Verbal Verified (if necessary) By Ext:	Signature Verified By:	RO Approved By	Input By	Checked by
Time:				