

 **FORWIN SECURITIES GROUP LIMITED - FEE TABLE**

Service Item	
(A) Securities Trading Service	
Hong Kong Stock	
Stamp Duty	0.13% on transaction amount (round up to the nearest dollar)
HKEx Trading Fee & SFC Transaction Levy	0.005% and 0.0027% on transaction amount respectively
CCASS Settlement Fee	0.005% on transaction amount (Min HK\$5)
FRC Transaction Levy	0.00015% on transaction amount
Brokerage Commission (By Phone)	0.25% on transaction amount (Min HK\$100) (Negotiable)
Brokerage Commission (Via Internet)	0.25% on transaction amount (Min HK\$100) (Negotiable)
Grey Market Trading Fees	Brokerage Commission + \$100 handling fee (In addition to the Stamp Duty, HKEx Trading Fee, SFC Transaction Levy, CCASS Settlement Fee and FRC Transaction Levy)
China Connect Stock (Shanghai-Hong Kong and Shenzhen-Hong Kong)	
Brokerage Commission (Via Internet)	0.08% on transaction amount (Min RMB60)#
Brokerage Commission (By Phone)	0.25% on transaction amount (Min RMB100)#
Handling Fee	0.00487% on transaction amount of a transaction
Securities Management Fee (CSRC)	0.002% on the consideration of a transaction
Transfer Fee (China Clear - Shanghai)	0.002% on the consideration of a transaction
Transfer Fee (HKSCC)	0.002% on the consideration of a transaction
Stamp Duty (the State Administration of Taxation (SAT))	0.1% of the consideration of a transaction on the seller only (round to the nearest RMB0.01)
Portfolio Fee (CCASS)	The market value of daily portfolio x 0.008% / 365 (calculated on daily basis)
(B) Handling of Physical Certificate Service	
Physical Scrip Deposit	Waived
Withdrawal of Physical Scrip	HK\$5 per board lot / odd lot and HK\$100 handling fee for each stock
Transfer Deed (HKSAR)	HK\$5 per certificate / for each transfer deed
(C) Investor Settlement Instruction (ISI) /Settlement Instruction (SI)	
Deposit Stock to CCASS	Free
Stock Withdrawal via CCASS	Handling Fee: HK\$100/per stock + Clearing Fee: 0.002% x number of shares x closing price of last trading day
Delivery versus Payment (DVP)	HK\$200/per stock
(D) Nominee and Corporate Actions Service	
Scrip Fee (Book Close)	HK\$2 per board / odd lot
Cash Dividend Collection / Scrip Dividend Collection (HK Stock)	0.50% of dividend amount (Min HK\$20)
Cash Dividend Collection / Scrip Dividend Collection (China Connect Stock)	Handling Fee*0.50% of dividend amount (Min RMB30)
Handling of Stock Transfer at Share Register	Handling Fee HK\$200 (Plus Transfer fee of Share Registration)
Rights & Warrants Exercise / Bonus Share Collection Fee	HK\$1.5 per board / odd lot (Min HK\$20)
Corporate Action Services Fee	Handling Fee HK\$50, plus Corporate Action Fee HK\$1 per board / odd lot (Min HK\$20, Max HK\$50,000)
Share Subdivision / Share Consolidation	Free
(E) Other Services	
Real Time Stock Quote	HK\$350 per month
Claims for Dividend / Bonus Shares (charged whether the claim is successful or not)	HK\$1,000 per claim & HK\$100 per dividend payment
E-IPO Application (Cash)	Free
E-IPO Application ((Margin Finance)	Handling Fee HK\$100
Stop cheque / Returned cheque	HK\$200 per transaction + Penalty interest
Clearing House Automated Transfer System (CHATS) / Transfer by Cheque	Handling Fee HK\$200
Telegraphic Transfer (TT)	TT to Overseas Bank in HKD : Billed at cost with handling fee HK\$350 TT to Overseas Bank in other currency: Billed at cost with handling fee equal to HK\$350
Physical Statement by mail	HK\$150 per month
Monthly Statement Re-print	within the recent 3 months : Free over recent 3 months : HK\$100 per month
Provide Asset Proof Soft Copy	HK\$200 per transaction + other related fee
Cash A/C Debit interest	Prime Rate + 6%
Margin A/C Margin Financing Debit Interest	Prime Rate + 3% (Negotiable)
Margin A/C Margin Financing Call Interest	Prime Rate + 6% (Negotiable)
Interest of Outstanding Cash Balance in Cash or Margin A/C	0%
Custody Fee	The market value every 6 months x 0.0125% (Market value more than HK\$5,000,000)
Inactive Account Fee	HK\$200 per year

Effective Date : 01 January, 2022

Remarks:

- #No consolidation on calculation for tradings through channels on the same day. In addition to trading commissions, customers are required to pay additional fees such as clearing fees and transaction levies.
- **Handling fee calculates based on gross amount (after tax). The tax rate depends on the rates issued by the State Administration of Taxation (SAT).
- Fund deposit cutoff at 16:00 of any trading day, fund withdrawal cutoff at 12:00 of any trading day (according to actual value time at bank) and all instruction will be handled on the same day.
- Third party payment is unacceptable, cheque should be payable to "FORWIN SECURITIES GROUP LIMITED".
- Other transaction levies (if applicable) include trading fees charged by the relevant stock exchange. Trading fees for the securities settlement system, or government sales tax for securities transactions, plus other fees that may be required. We do not further notify for any changes of transaction levies imposed by third parties.
- The above fees are for reference only and FORWIN SECURITIES GROUP LIMITED has the final rights to amend the above service fee schedule.